PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032

77250-0784

November 19, 2003

512-370-2850

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 11321-P057US Attorney Docket No. UTILITY PATENT APPLICATION Yakobson, et al. First Inventor **TRANSMITTAL** A High-Yield Method of Endohedrally... Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) EL812642233US Express Mail Label No. Mall Stop Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing)
Applicant claims small entity status. Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🔽 [Total Pages Specification Computer Readable Form (CRF) (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications Specification Sequence Listing on: - Statement Regarding Fed sponsored R & D i. CD-ROM or CD-R (2 copies); or Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention ii. Paper Brief Summary of the Invention - Brief Description of the Drawings (if filed)
- Detailed Description c. Statements verifying identity of above copies **ACCOMPANYING APPLICATION PARTS** - Claim(s)
- Abstract of the Disclosure 9. 🔲 Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10. Power of 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 5. Oath or Declaration [Total Sheets English Translation Document (if applicable) a. Newly executed (original or copy) Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment
Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 completed) (Should be specifically itemized) i. DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) Signed statement attached deteting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. Application Data Sheet, See 37 CFR 1,76 or its equivalent. 17. 🗹 Other: check..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/787.473 Prior application information: Examiner Stuart L. Hendrickson Art Unit: 1754

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number: OR Correspondence address below Name Ross Spencer Garsson Wintsead Sechrest & Minick P.C. Address 1201 Main Street, P.O. Box 50784 City State TX Dallas Zip Code

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Telephone | 512-370-2870

Registration No. (Attorney/Agent) | 38,150

Country

Signature

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Name (Print/Type) Ross Spencer Garsson

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November 19, 2003

PTO/SB/17 (10-03)

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FEE TRANSMITTAL		Complete if Known							
		Application Number				TO BE ASSIGNED			
for FY 2004 Effective 10/01/2003, Patent fees are subject to annual revision.			Filing Date			November 19, 2003			
			First Named Inventor			Yakobson, et al.			
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name			Unknown			
		Art Unit			Unl	Unknown			
TOTAL AMOUNT OF PAYMENT (\$) 545.00		Attorney Docket No.				11321-P057US			
METHOD OF PAYMENT (check all that epply)	_	FEE CALCULATION (continued)							
Check Credit card Money Other None	3. ADDITIONAL FEES Large Entity , Small Entity								
✓ Deposit Account:	Fee	Par Par Par Par							
Deposit Account 23-2426		e (\$)		(\$)	•	ee Desci	Fee Paid		
Number		130	2051		Surcharge	_			
Deposit Account	1052	50	2052		Surcharge cover sheet				
Name The Director is authorized to: (check all that apply)	1053	130	1053			English specification			
Charge fee(s) indicated below Credit any overpayments		2,520	1812 2	2,520	For filing a	request for	ex parte reexamination	 	
Charge any additional fee(s) or any underpayment of fee(s)		920	1804		Requesting Examiner a	publication	of SIR prior to		
Charge fee(s) Indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840	1805		Requesting Examiner	publication			
FEE CALCULATION		110	2251	55			thin first month		
1. BASIC FILING FEE		420	2252	210	Extension	for reply wit	thin second month		
Large Entity Small Entity	1253	950	2253	475	Extension	for reply wil	thin third month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension 1	for reply wil	thin fourth month		
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension :	for reply wi	thin fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of A	ppeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brie	a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for	r oral heari	ng		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to i	on to institute a public use proceeding			
SUBTOTAL (1) (\$) 385.00	1452	110	2452	55	Petition to r	ion to revive - unavoidable			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to	revive - uni	ntentional		
Fee from	1501 1502	1,330	2501		Utility issue	-	ssue)		
Total Claims 33 -20** = 13 X 9 = 117. This properties of the prop		480	2502		Design issu				
		640	2503		Plant issue				
		130	1460		Petitions to				
Large Entity Small Entity		50	1807				37 CFR 1.17(q)		
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	1806	180	1806				tion Disclosure Stmt assignment per		
1202 18 2202 9 Claims in excess of 20	8021	40	8021	40	property (tir	nes numbe	r of properties)		
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a sub (37 CFR 1.	a submission after final rejection FR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each ac	ach additional invention to be			
1204 86 2204 43 ** Relssue independent claims over original patent	1801	770	2801		examined (3 Request for		29(b)) d Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedit		or expedited	examination					
	Other	of a design application Other fee (specify)							
SUBTOTAL (2) (\$) 160.00 **or number previously paid, if greater, For Reissues, see above									
Pagistration Ala									
Name (Print/Type) Ross Spence Garsson Registration No. 38,150 Telephone 512-370-2870									

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